

Doctoral Dissertations  
on  
Ukrainian Topics in English  
Prepared During the Years  
1928-1978

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## **DOCTORAL DISSERTATIONS ON UKRAINIAN TOPICS IN ENGLISH PREPARED DURING THE YEARS 1928-1978**

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Ukrainian Research Foundation is in the process of preparing a critically annotated bibliography of scholarly works pertaining to all aspects of Ukrainian culture. The major emphasis in this bibliography will be on works in English, with references to materials in other languages, including Ukrainian. All known doctoral dissertations prepared in English will be incorporated, with appropriate annotations discussing their methodology, scope, findings, etc. This article provides a preliminary list of these dissertations, and presents observations and a brief analysis of this material. Our purpose in writing this article, as well as in compiling the bibliography, is to point out significant gaps in the existing literature and to propose guidelines for further research on Ukrainian topics. It is hoped that readers will assist in locating additional titles, referring this information to the editorial offices of the Ukrainian Research Foundation.

One of the major concerns of the Ukrainian community and Ukrainian scholars is the future of Ukrainian scholarly institutions such as the Shevchenko Scientific Society and the Ukrainian Free Academy of Arts and Sciences. Although these institutions are recognized for their standards of excellence and their notable achievements, in recent years they have been adversely affected by the continuous erosion of their membership, primarily of scholars of the older generation. Some of their most prominent members, who at one time were quite active researchers in Ukraine and who actually reestablished these institutions abroad, are deceased; others are long past retirement age. Even a cursory examination of probably the most important scholarly work created abroad — *Encyclopedia of Ukraine* (in both its Ukrainian and English versions) — immediately reveals that most of its contributors and editors are scholars of the older generation. Indeed, *Encyclopedia of Ukraine* lacks the much needed influx of "new blood," but where are these knowledgeable young scholars who could lend meaningful assistance to this important project? This is only one example of many that could be cited here. One of the more striking illustrations of this phenomenon is the almost total lack of fundamental works in English on such topics as the history of Ukraine, the history of modern Ukrainian literature, culture, education, etc. The old masters, Doroshenko and Hrushevs'kyi, are reprinted; others, such as Chyzhevsky, are translated. The young generation of scholars (those who received their education abroad, often in the leading universities) at least up until this time is still not in a position to prepare synthesizing works, in spite of the fact that some of their doctoral dissertations

date back more than ten years. Obviously, not all students who selected Ukrainian topics for their dissertations will continue their research interests in Ukrainian affairs. Some of them will discontinue research altogether and, having obtained this terminal degree, will use it as a kind of "union card" for teaching or to obtain a lucrative position in government or in private industry. This is quite natural and of course is not limited to students of Ukrainian studies. However, the significant lack of meaningful research and published materials by young Ukrainian scholars is of great concern to the Ukrainian community, which may account for the wide support of the Harvard project and the much younger Canadian Institute of Ukrainian Studies.

In our search to locate all dissertations on Ukrainian topics and to perceive what topics had and had not been researched, we examined numerous bibliographies of doctoral dissertations with varying profiles and covering different periods of time. Probably the most comprehensive bibliography is Joseph Danko's "West European and American Doctoral Dissertations on the Ukraine, 1945-60" (*The Annals of the Ukrainian Academy of Arts and Sciences* 9:313-33, 1961), which lists 215 doctoral dissertations and Habilitationsschriften dealing wholly or in great part with Ukraine; this article supersedes O. Horbach's "Ukrainistychni pratsi po universytetakh Zachidnoi Nimechchyny ta Avstrii v 1945-1957 rr." (*Ukrains'kyi Samostiinyk*, nos. 11:20-25 and 12:17-20, 1960). Lubomyr R. Wynar, in his article "Ukrains'ki dysertatsii v amerykans'kykh universytetakh" (*Ukrains'kyi Istoryk* 5 [17-20]:142-45, 1968), provides a listing of 41 dissertations for the period 1934-1967, analyzing them by subject, year of publication, and institution. A somewhat different approach is provided by Frances Swyripa in her article "Theses and Dissertations on Ukrainian Canadians: An Annotated Bibliography" (*Journal of Ukrainian Graduate Studies* 3:91-110, Spring 1978), listing not only doctoral dissertations but also master's theses and degree-required essays at the bachelor level written on Ukrainian-Canadian topics at Canadian, American, and European universities. It should be noted that doctoral dissertations pertaining to the Soviet Union and Russia are covered in Jesse J. Dossick's two volumes — *Doctoral Research on Russia and the Soviet Union* (New York University Press, 1960, 248p) and *Doctoral Research on Russia and the Soviet Union, 1960-1975* (Garland, 1976, 345p). The first volume lists 960 dissertations accepted at American, Canadian, and British universities from the time of the awarding of the first degree up to 1959; the second volume lists 3,150 dissertations for the period indicated in the title. The updating of both volumes is executed by Dossick in an annual column, "Doctoral Dissertations..." published by *Slavic Review*. The most comprehensive listing of doctoral dissertations on all topics is found in *Dissertation Abstracts International* (Ann Arbor, University of Michigan Microfilms, 1938- , monthly, with cumulations) and its predecessors. All of these sources cite doctoral dissertations on Ukrainian topics and, along with the previously mentioned articles, were consulted by us in preparing this article.

We found that many of these sources, including Dossick and *Dissertation Abstracts*, contain occasional errors. For example, O. M. Kraus' "Ivan Mazepa as a Literary Character in Representative Ukrainian, Polish and Russian Works,"

reported by Dossick as having been completed at Syracuse University in 1975, apparently does not exist. E. D. Wangenheim's "The Ukrainian Community in Toronto," University of Toronto, 1961, listed in *Weres' Ukraine: Selected References in the English Language*, 2nd ed. (Chicago, Ukrainian Research and Information Institute, 1974), was never completed. Both universities were contacted directly for verification. Omissions, errors in spelling, the assignment of misleading subject headings, etc., are especially evident in *Dissertation Abstracts International*, the result presumably of computer-generated data.

In order to assure a greater degree of accuracy in our list, all universities were contacted. Consequently, we were able to verify citations and to obtain abstracts for those dissertations unavailable for *de visu* examination. A list of the 235 known dissertations appears at the end of this article. Entries that contain page numbers in the collation statement reflect that the dissertations or their abstracts were examined *de visu*. All other dissertations listed here are based on extensive cross checking of several sources. As indicated earlier, possible errors may still exist, and we will be grateful for assistance in correcting them.

This list is limited to doctoral dissertations written in English and covers all universities outside the Soviet Union and its satellites, including Ukrainian Free University. Most dissertations are exclusively devoted to Ukrainian topics or at least have significant emphasis on Ukrainian affairs. The best examples of this latter category are dissertations listed under the heading "Nationality Policy in the Soviet Union" and some dissertations incorporated in the historical section, e.g., "World War I and Civil War," and "World War II." It should also be pointed out that we have included for the medieval period a number of dissertations that usually are classified in western countries as "Russian." In this respect, we follow the pattern established by Hrushevs'kyi and Ukrainian national historiography, which considers Rus' an integral part of Ukrainian history. Dissertations pertaining to minorities in Ukraine are also included on a selective basis — e.g., Eliach's "Jewish Hasidim, Russian Sectarians, Non-Conformists in the Ukraine, 1700-1760." Some works on persons of Ukrainian origin are also included, such as Makarenko, Lysenko, and, more notably, Hohol' and Korolenko. Dissertations completed during 1978 are not fully represented since, at the time of this writing, information on many of them was not yet available.

The arrangement of entries is by broad subject categories. In using this approach, one can see at a glance how well certain subjects are represented and what important topics have been neglected. As can be seen in the chart below and in the list of dissertations at the end of this article, the largest number of dissertations is in history, politics, and international relations; a rather significant number of these are in the area of political science bordering on history, especially "World War I" (19) and "World War II" (8). The medieval period is also well represented (8); however, with the exception of Serbyn's dissertation, all of them were prepared by non-Ukrainians and, unfortunately, reflect a predominantly Russian point of view. Excluding those studies on such historical figures as Pylyp Orlyk, Adam Kysil', and Drahomanov, only ten dissertations deal with the Cossacks, the Ukrainian Het'man State, and eighteenth and nineteenth-century

history. There is not a single dissertation on Khmelnyts'kyi or Mazepa (just to mention two of the most prominent het'mans).

Historiography is also modestly represented, with not a single dissertation on Antonovych, Hrushevs'kyi, Bahalii, Lypyns'kyi, Tomashivs'kyi, or, as a matter of fact, Doroshenko or Ohloblyn. Since several of these individuals were active abroad for long periods of time, certainly necessary primary sources are available. By contrast, there are several studies on less important figures in Russian historiography — e.g., Dubnov, Kotoshikhin, Shchapov, etc. During the period 1960-1975, Pokrovskii is represented by four dissertations, Tatishchev by four. Modern Ukrainian history and political science receive much better coverage, at least in the quantity of dissertations, including outstanding works (all published later in book form) by Armstrong, Reshetar, Bilinsky, and Sullivan. However, there is no dissertation on Petliura and his period or on such prominent leaders of Ukrainian resistance as Konovalets' and Bandera.

Literature and drama are represented by 52 dissertations, with six works on *Stovo*. Excluding these and the four works listed under comparative literature, only four dissertations cover the period up to and including the nineteenth century. There are eleven dissertations on individual authors, including one each on Franko and Shevchenko. There is nothing on Lesia Ukrainka or Kotsiubyns'kyi, or on such important literary figures as Zerov, Iefremov, Tychyna, Ianovs'kyi, or Malaniuk, Teliha, Olzhych, and several other emigré writers. There is one dissertation on O. Korniihuk. Again, by contrast, there are 15 dissertations on Hohol'. It is hoped that some broader dissertations on Shevchenko and Franko will be added to this meager list. One could continue such comments concerning the uneven coverage of major topics, but the above should illustrate our point.

The quantity of dissertations prepared within the five-year time periods reflects a steady increase in research on Ukrainian topics. However, when compared to the increase of doctoral dissertations being prepared in all subject areas, these figures are less than impressive. According to Dossick, 5,070 dissertations were produced up to the year 1975; the percentage of Ukrainian topics (taking our total, covering an additional three years, and Ukrainian Free University dissertations) constitutes about .04 percent of this total, in spite of the fact that the number of dissertations prepared during 1966-1970 was practically doubled in the 1971-1975 period. The interest in Ukrainian topics is still very modest, and if we subtract from our total the number of dissertations not directly related to Ukraine, the resulting number would be significantly smaller.

The leading institutions in granting degrees in this field are: Columbia — 27; followed by Harvard — 15; Ukrainian Free University — 14; Ottawa — 13; Pennsylvania — 12; Washington — 10; Georgetown — 8; Chicago, Illinois, Michigan, and Yale — 7 each; and New York and Indiana — 5 each. Out of the 235 dissertations, only about 28 were published later as monographs, mostly by university presses. Again, dissertations submitted to Columbia seem to be most suitable for publication — e.g., Armstrong, Fedyshyn, Dallin, Budurovycz, Sawczuk, Perfecky, Luckyj, and Babinsky. Only one Harvard dissertation directly related to a Ukrainian topic has been published, namely, Reshetar's *The Ukrainian Revolution, 1917-1920* (Princeton University

Press, 1952). It is interesting to note that in spite of a significant increase of doctoral dissertations in the 1970s, more books based on doctoral dissertations were published in the 1960s.

Figures based on this study support several assumptions: one is that many students of Ukrainian studies tend to shy away from more sensitive (and consequently more demanding) topics. This seems to be a logical conclusion, considering the large gaps in the literature in important areas of Ukrainian history. The neglect in these areas and the fact that few doctoral dissertations on Ukrainian topics are published suggest that little encouragement or guidance is being provided by some of the senior scholars responsible for the development of Ukrainian studies at the university level. While this is purely speculative assumption, no objective observer can deny the fact that a number of vital topics in the areas of Ukrainian historiography, culture, literature, and the arts have been consistently avoided. Many dissertations are fragmentary in their scope, and while occasionally employing rather interesting methodology, they bring little of substance to Ukrainian scholarship.

These problems merit further research and frank discussion among the Ukrainian community. It is hoped that the bibliography of scholarly literature pertaining to Ukraine mentioned previously in this article will add further insight to this situation.

## DISSERTATIONS ON UKRAINE

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- Stebelsky, Ihor. *Land Tenure and Farm Holdings in European Russia on the Eve of Collectivization.* Seattle, University of Washington, 1967. 347 p.

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- Halich, Wasyl. Economic Aspects of Ukrainian Activity in the United States. State University of Iowa, 1934. 155 p.
- Koropecykj, I. S. The Economics of Investment in Ukrainian Industry, 1928-1937. Columbia, 1964. 371 p.
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- Thiele, Roger Lee. *Town and Function in Tsarist Russia: A Geographical Analysis of Trade and Industry in the Towns of New Russia, 1860-1910*. Seattle, University of Washington, 1970. 475 p.

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*History, Politics, and International Relations*

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- Dallin, Alexander. German Policy and the Occupation of the Soviet Union, 1941-1944. Columbia, 1953. 1351 p.
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Agriculture				1		1			2
Arts							1		1
Church & Religion	1		2	1	4	2	5	2	17
Economics	1			1	4	1	2		9
Education				1			5	2	8
Folklore					1		2		3
Geography & Demography			1		1	1	1		4
History, Politics & International Relations	2	4	6	8	13	18	22	13	86
Law			1			1			2
Linguistics		1		2	4	8	16	3	34
Literature & Drama	1	1	9	4	5	10	15	7	52
Philosophy						1	1	1	3
Science			1	1					2
Social Studies	1			1	2	1	5	3	12

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